

## APPLICATION FOR TRADE LICENCE UNDER THE TRADE LICENCE ACT- CAP 13.04 Of THE REVISED LAWS OF SAINT LUCIA

## Note

a) Application should be forwarded to the:

Secretary Trade Licence Advisory Board Ministry of Commerce, Industry and Consumer Affairs Heraldine Rock Building Waterfront

Castries

- b) Only non national companies and non-Caricom citizens need apply.
- c) All currency must be quoted in **East Caribbean Dollars**.
- d) Answers to all questions must be complete and accurate.
- e) Application forms which are not completed will not be processed.
- f) Every License shall be an annual license and shall expire 12 months after the date of issue of the licence and may be renewed thereafter.
- g) All supporting documents and application must be written in English.
- h) The following documents **MUST** be attached:

| 1.   | Letter of reference from applicant's Bank (s)                       |  |
|------|---|--|
| ii.  | Certificate of Character of major shareholders (Local and Overseas) |  |
| iii. | Concept note of business activities (1-2 pages).                    |  |

iv. Applicant must be in compliance with all relevant local legislation

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| _ ,        | me of Applicant (s)                     | pital Letters)          |                    |               |
|------------|---|-------------------------|--------------------|---------------|
| (a)        | <b>Intended Business Address</b>        |                         |                    |               |
|            |   |                         |                    |               |
| <i>a</i> . |   |                         |                    |               |
| (b)        | Mailing Address                         |                         |                    |               |
|            |   |                         |                    |               |
|            |   |                         |                    |               |
| (c)        | <b>Telephone</b> #                      | (d) <b>Fax</b> #        |                    |               |
| (e)        | E-mail Address                          |                         |                    |               |
| (f)        | <b>Business Type:</b>                   |                         |                    |               |
|            | Sole Trader Partnership                 | Incorporation _         | Franchise          |               |
|            | Other (specify)                         |                         |                    |               |
|            |   |                         |                    |               |
| Sta        | te names, nationalities, domicile of sh | nareholders and percent | tage of Share Capi | ital.         |
|            |   |                         |                    |               |
|            |   |                         |                    |               |
|            | Name                                    | Nationality             | Domicile           | Pero<br>of Co |

4. Provide the following information on each applicant and or each Director of the Company applying for a Trade Licence

| Name | Permanent Address | Nationality | Place of Issue | Date of<br>Birth | Particulars of current employment and or business experience |
|------|-------------------|-------------|----------------|------------------|--|
| i)   |                   |             |                |                  |  |
| ii   |                   |             |                |                  |  |
| iii  |                   |             |                |                  |  |
| iv   |                   |             |                |                  |  |

**Note:** Additional information can be supplied on a separate page if necessary.

| 5. Sta      | ite the a | mount of Capital to be invested in the enterprise (EC\$).                               |
|-------------|-----------|---|
|             | (a)       | Land  |
|             | (b)       | Building, leasehold improvements  |
|             |           | i) Freehold   |
|             |           | ii) Leasehold   |
|             |           | iii) Leasehold improvements   |
|             | (c)       | Fixed Assets  |
|             | (d)       | Other (specify)   |
|             |           | Total (EC\$)  |
| 6. P        | rovide s  | summary of business activities to be carried out: (use additional page(s) if necessary) |
| -<br>-<br>- |           |   |
| 7.          | Emp       | loyment:  Number to be employed in Licencing year                                       |
|             | a. Na     | tionals   |
|             | b. No     | n-Nationals   |

| (a) Banker's Name and Addre | ss in Saint Lucia or overseas:                        |
|-----------------------------|---|
|                             |   |
|                             |   |
|                             |   |
|                             |   |
|                             | Authorized Signature of Appl                          |
|                             |   |
|                             |   |
| FOR                         | OFFICIAL USE ONLY                                     |
| Board's Recommendations:    |   |
|                             |   |
|                             |   |
|                             |   |
|                             |   |
|                             | Signature of Chairperson Trade Licence Advisory Board |
| Minister's Decision:        |   |
|                             |   |
|                             |   |
|                             |   |
|                             | Signature of Minister Ministry of Commerce, Business  |
|                             | Development, Investment and Consumer Affairs          |

## **NOTICE**

The attention of persons affected by the Trade Licence Act Cap.13.04 is drawn to the requirements of the following Sections of the Act:

<u>Section 3 (1)</u> Subject to the provision of this Act, no person shall, without having first obtained a Trade Licence:

- a. sell wares, merchandise, wines, spirits, tobacco or goods of sort in any building or place, or
- b. engage in trade
- (2) Subsection (1) shall not apply:
- a. A CARICOM National

b. to a company in Saint Lucia that is not under alien control within the meaning of Section 8 of the Aliens (licensing) Act. No. 20 of 2002

- (3) Subject to provisions of this Act, no person shall, without the approval of the Minister responsible for Commerce
- (2) Sell any of the articles in paragraph (a) of subsection (1) or
- (3) engage in trade on behalf of:
- 1. a person who is not a CARICOM National; or

ii a company that is under alien control within the meaning of Section 8 of the Aliens (Licensing) Act. No. 20 of 2002.

- <u>Section 8 (1)</u> there shall be paid to the Accountant General such a fee as may from time to time be prescribed in respect of each licence issued by the Secretary to the Advisory Board. (Amended by Act 4 of 1997)
- (2) An Annual fee of such amount as is set forth in the schedule shall also be paid in respect of each licence by the licencee to the Accountant General.
- (3) The fees prescribed may however be reduced or waived in the public interest by the Cabinet in any case.
- <u>Section 9</u> every person who is required by the Act to obtain a licence shall produce that licence to the Secretary to the Advisory Board or a police officer not below the rank of Inspector, if requested to do so by the Secretary to the Advisory Board or such Police Officer.
- i) <u>Section 10</u>. Every licence shall be an annual licence and shall expire on 31 December in the year in which that licence was granted and may be renewed thereafter.

<u>Section 11 (1)</u> every person / company who holds a licience shall have affixed in some conspicuous place of the licenced premises for or in respect of which the licence is issued, a notice stating that he is the holder of the licence and the number of the licence.

<u>Section 11 (2)</u> every person/ company who affixes, causes or allows to be or remain a fixed a false licence notice to any premises is guilty of an offence.